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21186

7590

10/16/2006

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

01/19/2007 HDESTA2 00000050 190743 09416252

01 FC:2501 700.00 DA  
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Chris Hammond (Depositor's name)  
Chris Hammond (Signature)  
January 16, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/416,252	10/12/1999	DAVID R. TUSHIE	457.003US3	5923

TITLE OF INVENTION: SYSTEM AND METHOD FOR SMART CARD PERSONALIZATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	01/16/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHEUNG, MARY DA ZHI WANG	3694	713-001000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Schwegman, Lundberg,  
Woessner & Kluth, P.A.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Card Technology Corporation

Paramus, New Jersey

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Ann M. McCrackin

Date

Jan 16, 2007

Typed or printed name

ANN MCCRACKIN

Registration No.

42,858

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David R. Tushie et al.

Title: SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION

Docket No.: 457.003US3

Filed: October 12, 1999

Examiner: Mary Da Zhi Wang Cheung

Customer No.: 21186

Serial No.: 09/416,252

Due Date: January 16, 2007

Group Art Unit: 3694

Confirmation No.: 5923

Commissioner for Patents

Attn: MAIL STOP ISSUE FEE

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Alexandria, VA 22313-1450

**Notice of Allowance Date:**

October 16, 2006

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- ☒ Authorization to charge Deposit Account No. 19-0743 in the amount of \$1400.00 to cover the Large Entity Issue Fee Payment.
- ☒ Authorization to charge Deposit Account No. 19-0743 in the amount of \$30.00 to cover the Extra Patent Copies Fee (10 copies).
- ☒ Issue Fee Transmittal (Form PTOL-85).
- ☒ Authorization to charge Deposit Account No. 19-0743 in the amount of \$300.00 to cover the Publication Fee Payment.
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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

By

Ann M. McCrackin

Ann M. McCrackin

Reg. No. 42,858

AMM1:CMG:clh

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Chris Hammond

Name

Chris Hammond

Signature